# Probabilistic Induction for an Incremental Semantic Grammar

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RISER - EPSRC EP/J010383/1
Robust Incremental SEmantic Resources for Dialogue







# Dialogue is Incremental

#### We don't always speak in "complete" sentences

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A: So what is that? Is that er ... booklet or something?
B: It's a [[book]]
C: [[Book]]
B: Just ... [[talking about al— you know alternative]]
D: [[On erm ... renewable yeah]]
B: energy really I think
A: Yeah [BNC D97 2038-2044]
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- We're not dealing with individual grammatical sentences
- What does this tell us for grammar, parser, generator?
- Can we build (or learn) a suitable grammar?

#### **Outline**

- Dialogue & Incrementality
  - Compound Contributions
  - Requirements for Grammar
- Tools for Incrementality
  - Dynamic Syntax
  - Type Theory with Records
- OS/TTR: The DYLAN Framework
  - Incremental Interpretation
  - Context and Parse Graphs
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- Nearly 20% of BNC contributions continue another
- Over 70% continue something already apparently complete
- Pauses, role changes, continuations, self/other repair . . .
- Incremental parsing & generation, highly coordinated

# **Incremental Processing**

#### BNC KND 160-164

- A: So if you start at the centre [pause] and draw a line and mark off seventy two degrees,
- B: Mm.
- and then mark off another seventy two degrees and another seventy two degrees and another seventy two degrees and join the ends,
- B: Yeah.
- A: you'll end up with a regular pentagon.

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- A: you'll end up with a regular pentagon.
  - NLG must be suspended and restarted in context
  - NLU must be suspended and restarted in context

- A: And er they X-rayed me, and took a urine sample, took a blood sample. Er, the doctor
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- $\bullet \ \, \mathsf{NLG} \to \mathsf{NLU} \to \mathsf{NLG}, \, \mathsf{in} \, \, \mathsf{context} \, \, \,$
- Partial interpretations must be available
- Linguistic context must be available

### **Antecedent Completeness**

#### BNC H5H 110-111

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#### BNC FUK 2460-2461

- A: The profit for the group is a hundred and ninety thousand pounds.
- B: Which is superb.
- Need representations which can be extended incrementally

7/70

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B: But have you

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- A: whereas qualitative is [pause] you know what the actual variations
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  - Syntactic constituency not respected

# Not Always Collaborative

#### Lerner (1991)

Daughter: Oh here dad, a good way to get those corners out

Dad: is to stick yer finger inside.

Daughter: well, that's one way.

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Not just plan recognition and extension

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  - Efficient, predictive parsing models
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  - Efficient, predictive parsing models
  - Based on string-licensing syntactic grammars
- Categorial Grammar (Steedman, Clark, Milward)
  - Well-defined syntax/semantics interface
  - Incremental parsing by type-raising requires look-ahead
  - (although see Hefny et al, 2001)

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  - Not left-to-right linguistic processing

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  - Not left-to-right linguistic processing
- Self-Monitoring Models (Neumann, van Noord)
  - Interleaved parsing ↔ generation
  - Not left-to-right linguistic processing

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- Formal model (Poesio & Rieser)
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  - PTT for dialogue/utterance context
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- String-licensing grammar
- NLU/NLG interface unclear
- Relies on collaborative plan recognition

# Previous Approaches - Dialogue

- General abstract model (Schlangen & Skantze)
- Incremental NLU (Schlangen, Buss, Peldszus, Aist et al)
  - Faster NLU and reference resolution
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- Incremental NLG (Skantze, Hjalmarsson)
  - Faster, more natural generation with repair
- NLU/NLG reversibility?
- Linguistic structure, constraints?
- Linguistic context?

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  - Jindigo (Schlangen & Skantze, 2009)

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# **Dynamic Syntax**

- An inherently incremental grammatical framework
- Word-by-word incremental construction of semantic interpretation:
  - no autonomous level of syntax
  - "syntax" defined via constraints on incremental semantic structure-building
  - "grammar" is a set of procedures for incremental parsing
  - "trees" are semantic representations defined using LoFT (Blackburn & Meyer-Viol, 1994)
- Monotonic growth with underspecification-plus-enrichment
- Predictivity: requirements for later satisfaction



## DS Trees as semantic representations

- End product of parsing is a semantic tree
- Nodes decorated with Ty() type and Fo() formula labels
   "John likes Mary":

$$Ty(t),$$

$$Fo(like(john, mary))$$

$$Ty(e),$$

$$Fo(john)$$

$$Ty(e),$$

$$Ty(e),$$

$$Fo(mary)$$

$$Ty(e \rightarrow (e \rightarrow t)),$$

$$Fo(mary)$$

$$Fo(\lambda y \lambda x. like(x, y))$$

- Daughter order does not reflect sentence order!
- Nodes interpretable as terms in the  $\lambda$ -calculus
- NPs map onto terms of type e using the ε-calculus.

- Incremental tree growth driven by requirements e.g. ?Ty(t)
- Node under development marked by pointer
- Words induce sets of lexical actions: "john"

```
IF ?Ty(e)

THEN put(Fo(john)); put(Ty(e))

ELSE ABORT
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```

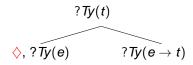
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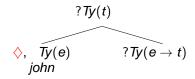
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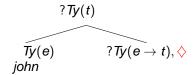
$$\begin{array}{lll} \textbf{IF} & ?\textit{Ty}(e \rightarrow t) \\ \textbf{THEN} & \mathsf{make}(\langle \downarrow_1 \rangle); \mathsf{go}(\langle \downarrow_1 \rangle); \\ & \mathsf{put}(\textit{Fo}(\lambda y \lambda x. \textit{like}(x, y))); \\ & \mathsf{put}(\textit{Ty}(e \rightarrow (e \rightarrow t))) \\ & \mathsf{go}(\langle \uparrow_1 \rangle); \; \mathsf{make}(\langle \downarrow_0 \rangle); \\ & \mathsf{go}(\langle \downarrow_0 \rangle); \; \mathsf{put}(?\textit{Ty}(e)) & & & & & & & & & \\ \textbf{ELSE} & \mathsf{ABORT} & & & & & & & & & & \\ \end{array}$$

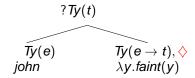
 General computational actions are also available e.g. requirement fulfillment, beta-reduction

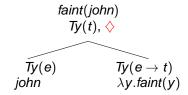
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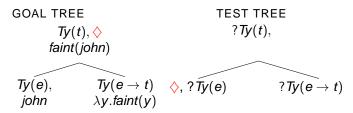




# Processing John fainted ightharpoonup faint(john) ightharpoonup faint(john) ightharpoonup Ty(t) ightharpoonup Ty(e o t) ightharpoonup john $ightharpoonup \lambda y.faint(y)$

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GOAL TREE TEST TREE 
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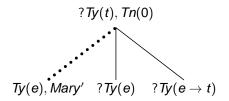
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## Structural Underspecification

• "Unfixed" nodes - building underspecified tree relations

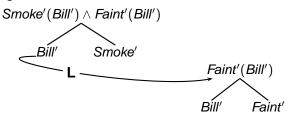


Left-dislocation "Mary, John likes"

#### LINKed trees

 Relative clauses: pairs of LINKed trees evaluated as conjunction

e.g. Bill, who fainted, smokes.

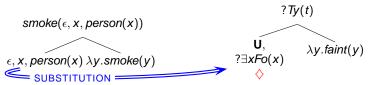


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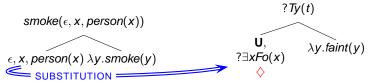
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Context must include trees and action sequences

## How are we doing?

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- Incremental interpretation
  - Maximal semantic content calculated at each step
- Incremental representation
  - Contribution of each word/unit to representations built
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## Some specific shortcomings

- No principled way to incorporate context information
  - e.g. constraints over speaker/hearer identity
- Generation requires a goal tree
  - i.e. knowledge of how the LF is to be compiled
- FOL/ε-calculus formulae hard to integrate with dialogue systems
  - usually DRT or frame-like constructs

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- (Cooper, 2005; Betarte & Tasistro, 1998), following Martin-Löf
- Records are sequences of label/value pairs:

$$\begin{bmatrix} I_1 = V_1 \\ I_2 = V_2 \\ I_3 = V_3 \end{bmatrix}$$

• Record types are sequences of label/type pairs:

$$\begin{bmatrix}
l_1 & : & T_1 \\
l_2 & : & T_2 \\
l_3 & : & T_3
\end{bmatrix}$$

- Record types are true iff they are inhabited/witnessed
  - there exists at least one record of that type
  - = successful type judgements for each label/value pair:

$$v_1: T_1, v_2: T_2, v_3: T_3$$

Types can be dependent on earlier (higher-up) types:

$$\begin{bmatrix}
 l_1 : T_1 \\
 l_2 : T_2(l_1) \\
 l_3 : T_3(l_1, l_2)
 \end{bmatrix}$$

• We can have nested records and record types:

$$\begin{bmatrix} I_1 : T_1 \\ I_2 : \begin{bmatrix} I'_1 : T'_1 \\ I'_2 : T'_2 \end{bmatrix} \\ I_3 : T_3(I_1, I_2, I'_1, I_2, I'_2) \end{bmatrix}$$

We can have functional record types:

$$\lambda r : \begin{bmatrix} I_1 : T_1 \\ I_2 : T_2 \end{bmatrix} \left( \begin{bmatrix} I_3 : T_3 \\ I_4 : T_4(r.I_1, r.I_2) \end{bmatrix} \right)$$

Subtype-supertype relations:

$$\begin{bmatrix} I_1 : T_1 \end{bmatrix} \sqsubseteq \begin{bmatrix} I_1 : T_2 \end{bmatrix} \quad \text{if} \quad T_1 \sqsubseteq T_2$$

$$\begin{bmatrix} I_1 : T_1 \\ I_2 : T_2 \end{bmatrix} \sqsubseteq \begin{bmatrix} I_1 : T_1 \end{bmatrix}$$

- All records are of type []
- Manifest (singleton) types:

$$[x: john] \sqsubset [x:e]$$
 if  $john \sqsubset e$   $[x_{=john}:e]$ 

Used for sentential semantics, e.g. Cooper (2005)

• for truth: x must be a man, p a proof that x left

"Every man left":
 λr: [x: man]([p: leave(r.x)])

• Similarities to DRT representation:

X
man(x)
leave(x)

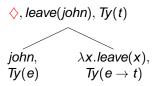
- Used for dialogue modelling in the information-state-based tradition
  - (Cooper & Ginzburg, 2002; Ranta & Cooper, 2004; Fernandez, 2006; Ginzburg, 2012)

#### The best of both worlds?

- TTR gives us a type-theoretic framework, applicable to dialogue phenomena
- DS gives us an incremental framework using type theory as an underlying mechanism
- Can we combine the two?

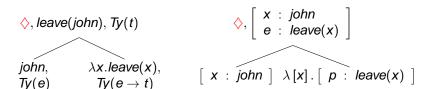
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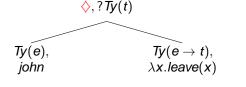
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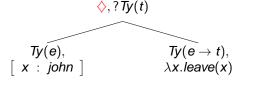
 Replace Fo() epsilon-calculus labels with TTR record types

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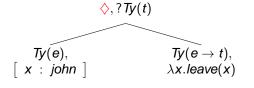
IF ?Ty(e)THEN put(Ty(e)) put(Fo(john))ELSE abort

 Replace Fo() epsilon-calculus labels with TTR record types



IF ?Ty(e)THEN put(Ty(e)) put([x:john])ELSE abort

- Replace Fo() epsilon-calculus labels with TTR record types
- Interpret Ty() simple type labels as referring to final TTR field type



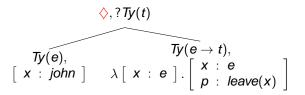
```
IF ?Ty(e)

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ELSE abort
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- Replace Fo() epsilon-calculus labels with TTR record types
- Interpret Ty() simple type labels as referring to final TTR field type
- Function application as before for DS elimination process

### Adding in LINK relations

For LINKed trees, we need conjunction

"Bill, who fainted, smokes."

$$smoke(bill) \land faint(bill)$$

$$bill \quad \lambda x.smoke(x)$$

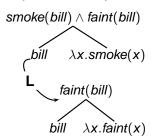
$$L \quad faint(bill)$$

$$bill \quad \lambda x.faint(x)$$

### Adding in LINK relations

- For LINKed trees, we need conjunction
- Use extension:  $\oplus$  where  $r_1 \oplus r_2$  adds  $r_2$  to the end of  $r_1$ 
  - (for distinct labels; identical fields collapse (Cooper, 1998))

"Bill, who fainted, smokes."

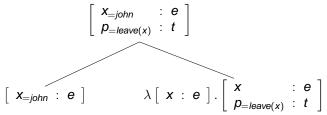


### Adding in LINK relations

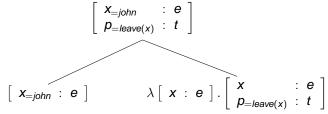
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```
"Bill, who fainted, smokes." smoke(bill) \wedge faint(bill) \qquad \left[\begin{array}{c} p: smoke(bill) \\ q: faint(bill) \end{array}\right] bill \quad \lambda x.smoke(x) \quad \left[\begin{array}{c} x: bill \end{array}\right] \quad \lambda[x]. \left[\begin{array}{c} p: smoke(x) \end{array}\right] L \quad \left[\begin{array}{c} q: faint(bill) \end{array}\right] bill \quad \lambda x.faint(x) \quad \left[\begin{array}{c} x: bill \end{array}\right] \quad \lambda[x]. \left[\begin{array}{c} q: faint(x) \end{array}\right]
```

Recent work integrating DS with TTR (Purver et al, 2011)

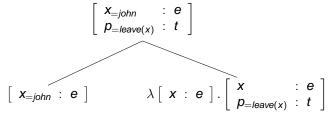


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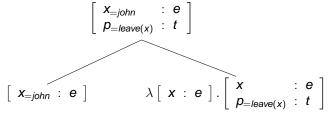
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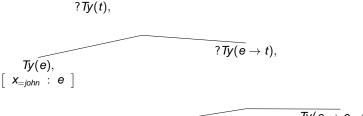


- TTR record types now provide the semantic content of each node of the DS trees
- LINKed trees for relative clauses and adjuncts are easily incorporated by extending (intersecting) record types
- Recently, a Davidsonian event-based semantics for tense has been incorporated (Cann, 2010, see next slide)

#### **Outline**

- Dialogue & Incrementality
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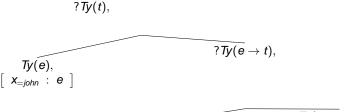
• Inference of maximal semantic content (Hough, 2011)



$$7y(e \rightarrow e \rightarrow t),$$

$$\lambda [y:e] .\lambda [x:e] \begin{bmatrix} x & : e \\ y & : e \\ p_{=like(x,y)} & : t \end{bmatrix}$$

• Inference of maximal semantic content (Hough, 2011)



• Inference of maximal semantic content (Hough, 2011)

$$?Ty(e),$$

$$[x_{=john}:e] \qquad \lambda[x:e]. \begin{bmatrix} x & : e \\ y & : e \\ p_{=like(x,y)}:t \end{bmatrix}$$

$$Ty(e \rightarrow e \rightarrow t),$$

$$[y : e] \qquad \lambda[y:e].\lambda[x:e] \begin{bmatrix} x & : e \\ y & : e \\ p_{=like(x,y)}:t \end{bmatrix}$$

Inference of maximal semantic content (Hough, 2011)

$$?\mathit{Ty}(t), \begin{bmatrix} x_{=john} & : & e \\ y & : & e \\ p_{=like(x,y)} & : & t \end{bmatrix}$$

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$$?\mathit{Ty}(e) \rightarrow e \rightarrow t),$$

$$?\mathit{Ty}(e), \\ [y : e] \qquad \lambda[y : e] . \lambda[x : e] \begin{bmatrix} x & : & e \\ y & : & e \\ p_{=like(x,y)} & : & t \end{bmatrix}$$

Inference of maximal semantic content (Hough, 2011)

$$Ty(t), \begin{bmatrix} x_{=john} & : & e \\ y_{=mary} & : & e \\ p_{=like(x,y)} & : & t \end{bmatrix}$$

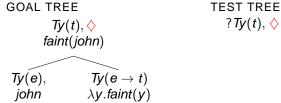
$$Ty(e), \begin{bmatrix} x & : & e \\ y_{=mary} & : & e \\ p_{=like(x,y)} & : & t \end{bmatrix}$$

$$\lambda [x : e] \cdot \begin{bmatrix} x & : & e \\ y_{=mary} & : & e \\ p_{=like(x,y)} & : & t \end{bmatrix}$$

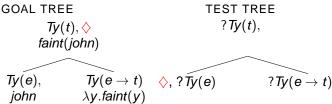
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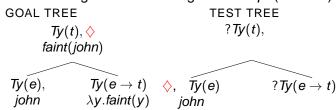
We can now generate from a goal concept (not tree)



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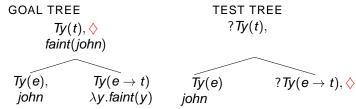


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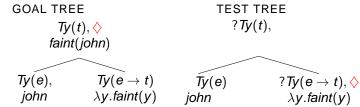
Gen: "John

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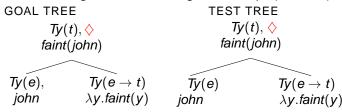
Gen: "John

We can now generate from a goal concept (not tree)



Gen: "John fainted"

We can now generate from a goal concept (not tree)



Gen: "John fainted"

We can now generate from a goal concept (not tree)
 GOAL CONCEPT
 TEST TREE
 ?Ty(t), ◊

$$\begin{bmatrix} x_{=john} : e \\ p_{=faint(x)} : t \end{bmatrix}$$

We can now generate from a goal concept (not tree)
 GOAL CONCEPT
 TEST TREE
 ?Ty(t),

$$\left[\begin{array}{cc} X_{=john} & : & \mathsf{e} \\ p_{=faint(x)} & : & t \end{array}\right]$$

$$\Diamond$$
, ?  $\widetilde{\mathit{Ty}(e)}$  ?  $\overline{\mathit{Ty}(e \to t)}$ 

We can now generate from a goal concept (not tree)
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Gen: "John

We can now generate from a goal concept (not tree)

 $\begin{bmatrix} x_{=john} & : & e \\ p_{=faint(x)} & : & t \end{bmatrix}$ 

**GOAL CONCEPT** 

TEST TREE ? Ty(t),  $\begin{bmatrix} x_{=john} & : & e \end{bmatrix}$   $Ty(e) & ? Ty(e \rightarrow t), \diamondsuit$   $x_{=john} & : & e \end{bmatrix}$ 

Gen: "John

We can now generate from a goal concept (not tree)
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TEST TREE ?Ty(t),  $\begin{bmatrix} x_{=john} & : & e \end{bmatrix}$   $7y(e) & ?Ty(e \rightarrow t), \diamondsuit$   $\begin{bmatrix} x_{=john} : & e \end{bmatrix} & \lambda x. \begin{bmatrix} x & : & e \\ p_{=faint(x)} & : & t \end{bmatrix}$ 

Gen: "John fainted"

We can now generate from a goal concept (not tree)

$$\left[\begin{array}{ccc} x_{=john} & : & e \\ p_{=faint(x)} & : & t \end{array}\right]$$

**GOAL CONCEPT** 

TEST TREE 
$$Ty(t), \diamondsuit$$

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$$\boxed{Ty(e)} \qquad \boxed{Ty(e \rightarrow t)}$$

$$\begin{bmatrix} x_{=john} & : & e \end{bmatrix} \quad \lambda x. \begin{bmatrix} x & : & e \\ p_{=faint(x)} & : & t \end{bmatrix}$$

Gen: "John fainted"

Davidsonian semantics, LINKed trees: incremental interpretation

Davidsonian semantics, LINKed trees: incremental interpretation

A: Today

Davidsonian semantics, LINKed trees: incremental interpretation

A: Today.. Robin arrives

Davidsonian semantics, LINKed trees: incremental interpretation

A: Today.. Robin arrives

B: From?

Davidsonian semantics, LINKed trees: incremental interpretation

A: Today.. Robin arrives

B: From?

A: Sweden

Davidsonian semantics, LINKed trees: incremental interpretation

A: Today.. Robin arrives

B: From?

A: Sweden

B: With Elisabeth?

Davidsonian semantics, LINKed trees: incremental interpretation

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 Online, collaborative, incremental construction of meaning as needed for dialogue.

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```
: participantA
B : participant B U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U : U :
```

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```
\begin{bmatrix} A & : participantA \\ B & : participantB \\ u & : utt-event \\ s_u(=A) : e \\ p_s & : spkr(u, s_u) \\ a_u(=B) : e \\ p_a & : addr(u, a_a) \end{bmatrix}
```

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 $\begin{bmatrix} A & : \textit{participantA} \\ B & : \textit{participantB} \\ u & : \textit{utt-event} \\ s_u(=A) : e \\ p_s & : \textit{spkr}(u,s_u) \\ a_u(=B) : e \\ p_a & : \textit{addr}(u,a_a) \end{bmatrix} \quad \begin{bmatrix} u : \textit{utt}(s_u,a_u) \end{bmatrix}$ 

- Add minimal utterance context information (see Poesio & Traum/Rieser)
  - Utterance event (for each word)
  - Speaker and addressee for that event

$$\diamond$$
, Ty(e),  $\begin{bmatrix} x : john \end{bmatrix}$ 

- Add minimal utterance context information (see Poesio & Traum/Rieser)
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  - Speaker and addressee for that event

$$\diamond$$
,  $Ty(e)$ ,  $\begin{bmatrix} u_0 : utt(s_0, a_0) \\ x : john \end{bmatrix}$ 

- Add minimal utterance context information (see Poesio & Traum/Rieser)
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$$\diamond$$
,  $Ty(e)$ ,  $\begin{bmatrix} ctxt : [u_0 : utt(s_0, a_0)] \\ cont : [x : john] \end{bmatrix}$ 

- Add minimal utterance context information (see Poesio & Traum/Rieser)
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$$\diamond$$
,  $Ty(e)$ ,  $\begin{bmatrix} ctxt : [u_0 : utt(s_0, a_0)] \\ cont : [x : john] \end{bmatrix}$ 

- Content can refer to context, but not vice versa
- Assume this information available when parsing a word

Can use this to provide identity for indexicals

```
• "J":

IF ?Ty(e), [ ctxt : [ u : utt(s_u, a_u) ] ],

THEN put(Ty(e)),

put(\begin{bmatrix} ctxt : [ u : utt(s_u, a_u) ] \\ cont : [ s_u : e ] \end{bmatrix})
```

Can use this to provide identity for indexicals

```
?Ty(e), [ ctxt : [ u : utt(s_u, a_u) ] ],
     THEN put(Ty(e)),
                   put(\begin{bmatrix} ctxt : [ u : utt(s_u, a_u) ] \\ cont : [ s_u : e ] \end{bmatrix})
                                                 ?Ty(t)
?Ty(e), \diamondsuit
\begin{bmatrix} ctxt : [u_0 : utt(A, B)] \end{bmatrix}
```

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```
?Ty(e), [ ctxt : [ u : utt(s_u, a_u) ] ],
    THEN put(Ty(e)),
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                                 ?Ty(t)
```

Can use this to provide identity for indexicals

```
• "I":

IF ?Ty(e), [ ctxt : [ u : utt(s_u, a_u) ] ],

THEN put(Ty(e)),

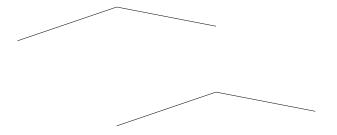
put(\begin{bmatrix} ctxt : [ u : utt(s_u, a_u) ] \\ cont : [ s_u : e ] \end{bmatrix})
```

"myself":

IF 
$$?Ty(e), [ctxt : [u : utt(s_u, a_u)]],$$

$$\uparrow_0 \uparrow_{1*} \downarrow_0 [cont : [x(=s_u) : e]]$$
THEN  $put(Ty(e)),$ 

$$put(\begin{bmatrix} ctxt : [u : utt(s_u, a_u)] \\ cont : [s_u : e] \end{bmatrix})$$



```
cx:[u_0:utt(A,B)]
```

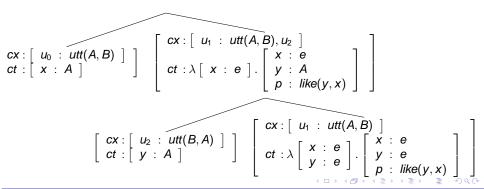
```
cx: [u_0: utt(A, B)]
ct: [x:A]
```

```
cx: \left[\begin{array}{c} u_0 : \textit{utt}(A, B) \end{array}\right]
ct: \left[\begin{array}{c} x : \left[\begin{array}{c} u_1 : \textit{utt}(A, B) \end{array}\right] \end{array}\right]
```

```
cx : \begin{bmatrix} u_0 : utt(A, B) \end{bmatrix}
ct : \begin{bmatrix} x : [u_1 : utt(A, B)] \end{bmatrix}
ct : \lambda \begin{bmatrix} x : e \\ y : e \end{bmatrix} \cdot \begin{bmatrix} x : e \\ y : e \\ p : like(y, x) \end{bmatrix}
```

```
cx: \left[\begin{array}{c} u_0 : \textit{utt}(A, B) \end{array}\right] \\ ct: \left[\begin{array}{c} cx: \left[\begin{array}{c} u_1 : \textit{utt}(A, B) \end{array}\right] \\ ct: \lambda \left[\begin{array}{c} x: e \\ y: e \end{array}\right] . \left[\begin{array}{c} x: e \\ p: \textit{like}(y, x) \end{array}\right] \end{array}\right]
```

```
 \begin{array}{c} cx: \left[\begin{array}{c} u_0 : \textit{utt}(A, B) \end{array}\right] \\ ct: \left[\begin{array}{c} x: \left[\begin{array}{c} u_2 : \textit{utt}(B, A) \end{array}\right] \\ ct: \left[\begin{array}{c} x: \left[\begin{array}{c} v_1 : \textit{utt}(A, B) \end{array}\right] \\ ct: \left[\begin{array}{c} x: e \\ y: e \end{array}\right]. \\ \end{array}\right] \end{array} \right]
```



### Split utterances with indexicals

• A: I like ... B: yourself.

$$Ty(t), \left[ \begin{array}{c} ctxt : \left[ \begin{array}{c} u_0 : utt(A, B), u_1, u_2 \end{array} \right] \\ cont : \left[ \begin{array}{c} x : A \\ y : A \\ \rho : like(x, y) \end{array} \right] \end{array} \right]$$

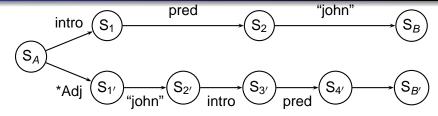
$$\begin{array}{c} \textit{cx} : \left[ \begin{array}{c} \textit{u}_0 : \textit{utt}(A, B) \end{array} \right] \\ \textit{ct} : \left[ \begin{array}{c} \textit{x} : \left[ \begin{array}{c} \textit{u}_1 : \textit{utt}(A, B), \textit{u}_2 \end{array} \right] \\ \textit{ct} : \lambda \left[ \begin{array}{c} \textit{x} : e \end{array} \right] . \left[ \begin{array}{c} \textit{x} : e \\ \textit{y} : A \\ \textit{p} : \textit{like}(\textit{y}, \textit{x}) \end{array} \right] \end{array} \right]$$

$$\begin{bmatrix} cx : \begin{bmatrix} u_2 : utt(B, A) \end{bmatrix} \\ ct : \begin{bmatrix} y : A \end{bmatrix} \end{bmatrix} \begin{bmatrix} cx : \begin{bmatrix} u_1 : utt(A, B) \end{bmatrix} \\ ct : \lambda \begin{bmatrix} x : e \\ y : e \end{bmatrix} \end{bmatrix} \begin{bmatrix} x : e \\ y : e \\ p : like(y, x) \end{bmatrix} \end{bmatrix}$$

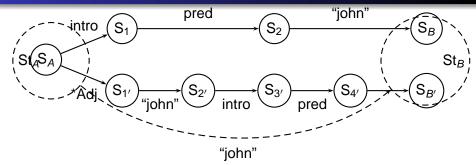
# Parsing in Dynamic Syntax

- Parsing starts from some partial tree, proceeds in a time linear manner, reading the words in one by one, applying the corresponding lexical actions, optionally interspersing computational actions.
- This process is modelled on a Directed Acyclic Graph (DAG) (Purver et al. 2011, Sato, 2010) where:
  - Nodes = Trees
  - Edges = actions (lexical or computational)
  - Different Paths represent different parsing strategies.

### **DS Parse DAG**

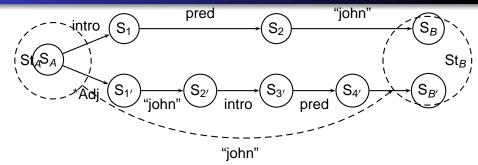


### **DS Parse DAG**



- Integrate with word graph (and ASR "lattice")
  - Nodes = tree sets
  - Edges = word transitions

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- Integrate with word graph (and ASR "lattice")
  - Nodes = tree sets
  - Edges = word transitions
- Graph is context model: words, trees, action sequences
  - Incremental representation

# How are we doing now?

- Incrementality √
  - Processing language word by word
- Incremental interpretation
  - Maximal semantic content calculated at each step
- Incremental representation
  - Contribution of each word/unit to representations built
- Incremental context
  - Context added to and read from incrementally
- Reversibility √
  - Representations common between parsing and generation
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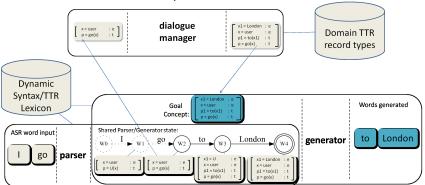
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So . . .

- This seems like a suitable framework
- Can we actually do anything with it . . . ?

# Jindigo Module interaction: sharing parse state lattices

 Parse state DAG is common to generation and parsing, so can be shared between Interpreter and Generator modules...



### But ...

What about the coverage?

### **Outline**

- Dialogue & Incrementality
  - Compound Contributions
  - Requirements for Grammar
- Tools for Incrementality
  - Dynamic Syntax
  - Type Theory with Records
- OS/TTR: The DYLAN Framework
  - Incremental Interpretation
  - Context and Parse Graphs
- Learning Incremental Grammar
  - Problem and Background
  - Learning Lexical Entries

### Problem: learning incremental semantic grammars

- DS is idiosyncratic: no independent level of syntactic processing, and word-by-word incremental
- Increasing coverage manually is unrealistic . . .
- We need to learn from data!

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- DS is idiosyncratic: no independent level of syntactic processing, and word-by-word incremental
- Increasing coverage manually is unrealistic . . .
- We need to learn from data!
- Current induction methods developed for grammars that:
  - define syntactic structures over words
  - are not incremental, i.e. cannot deal with partial utterances/sentences
- Therefore hard or impossible to adapt directly

### Previous work on induction

- Supervised: e.g. learning PCFGs from parsed corpora (e.g. Charniak, 1996)
  - successful for PSGs, but cognitively implausible
  - no data available for us
- Unsupervised: learning from raw, unannotated corpora
  - less successful: computationally intractable in the worst case (Gold, 1967)
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- Unsupervised: learning from raw, unannotated corpora
  - less successful: computationally intractable in the worst case (Gold, 1967)
  - not clear how to apply to semantic problem
- Lightly supervised (latent variable supervised)
  - e.g. learn from sentences paired with Logical Form (LF)
- Plausible?
  - Shared focus of attention with others
  - 'Helpful' interaction e.g. corrective feedback (Saxton, 2010)

### Semantically supervised learning

- Successfully applied to Combinatorial Categorial Grammar (Steedman, 2000), as it tightly couples compositional semantics with syntax (Zettlemoyer& Collins, 2007; Kwiatkowski et al. 2010; Kwiatkowski et al. 2011).
- Our problem of inducing DS lexical actions is in the same spirit . . .
- ... except that CCG is not word-by-word incremental.
- Existing corpora annotated e.g. GeoQuery, PropBank, CHILDES
- Approach: hypothesize lexical entries which can be extended to yield the known LF

### The problem

#### Input:

- the set of computational actions in Dynamic Syntax, G.
- a set of training examples of the form \( S\_i, T\_i \), where \( S\_i \) is a sentence of the language and \( T\_i \) is the complete semantic tree representing the compositional structure of the meaning of \( S\_i \)
- (we will call  $T_i$  the target tree)

#### Output:

- a grammar consisting of the possible lexical actions for each word w
- probability distributions  $\theta_w$  over possible lexical actions specifying p(a|w,T) in the context of a partial tree T

# Simplifying Assumptions

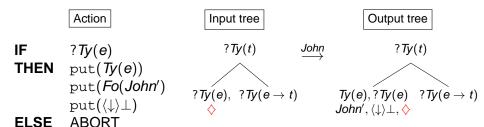
- Assume tree operations (i.e. lambda calculus) known
- Assume  $T_i$  is a *tree*, not a flat logical form
  - not a syntactic phrase-structure tree
  - correspondence of words arrive to LF elements
     λx.arrive'(x) unknown
- Assume lexical action probabilities conditioned only on pointed node type, and apply to only one type
  - $\theta_w$  specifies  $p(a|w,T) \rightarrow p(a|w)$
  - (i.e. assume IF ? Ty(X); learn THEN clause as sequence of atomic actions go, make, put)

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### **Lexical Actions**

Our task is to learn lexical actions:

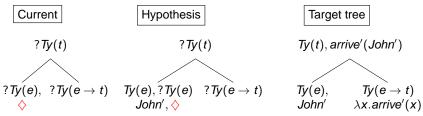


### Method: incremental hypothesis construction

- DS is strictly monotonic:
  - Hypothesising lexical actions = an incremental search through the space of all monotonic extensions of the current tree T<sub>cur</sub> that subsume the target tree T<sub>t</sub>.
- Basic constraints on the structure of DS lexical actions makes the search space tractable.
- Hypothesis construction is integrated with parsing over a parse state DAG as above.
- Splitting and generalisation into possible lexical action subsequences.
- Probability estimation to keep most probable hypotheses.

# Hypothesis construction

• Hypothesise extensions which subsume the target tree:



This is just one of many possible hypotheses . . .

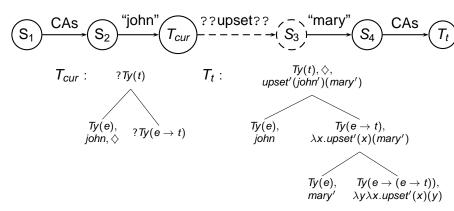
### Constraining hypotheses

- Constraints imposed by tree logic, lambda calculus, type constraints
- Mother nodes compatible with daughter types, formulae
- No formula decoration without type decoration
- Finite type set
- Words add semantic formulae at one node only
- Package these as possible hypothesis macros:

$$?\mathit{Ty}(X), \diamondsuit \\ | \textbf{IF} \\ X \neq e \\ \textbf{THEN} \quad \mathit{make}(\langle \downarrow_0 \rangle); \mathit{go}(\langle \downarrow_0 \rangle) \\ \mathit{put}(?\mathit{Ty}(e)); \mathit{go}(\langle \uparrow_0 \rangle) \\ \mathit{make}(\langle \downarrow_1 \rangle); \mathit{go}(\langle \downarrow_1 \rangle) \\ \mathit{make}(\langle \downarrow_1 \rangle); \mathit{go}(\langle \downarrow_1 \rangle) \\ \mathit{put}(?\mathit{Ty}(e \to X)); \mathit{go}(\uparrow) \\ \textbf{ELSE} \quad \mathsf{ABORT} \\ | \textbf{ABORT} \\ | \textbf{ABORT}$$

### Constraining hypotheses

Constrain hypotheses within DAG paths:



Hypotheses themselves form a (finite, bounded) DAG

### Splitting lexical hypotheses

- Split DAG edges into possible word sequences
  - hypothesise possible set of split points
  - constraints: one semantic decoration subsequence per word, kept to the right
- DAG edges combine lexical and computational actions
- Lexical entries should be general
  - apply in all desired (tree) contexts
  - consign variation in start/end point to computational actions
- Lexical entries should be efficient
  - constrain possible context to those observed
  - i.e. lexicalising computational actions where possible

- The output from each training example is a mapping from words to hypothesis Candidate Sequences extracted from the DAG.
- We refine and generalise over Candidate Sequences by Sequence Intersection modulo computational actions

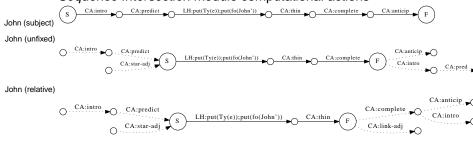
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 Lexical Ambiguity is postulated when the candidate sequences cannot be intersected in this manner.

### Parameter Estimation

- Incremental version of Expectation-Maximisation
- Estimate p(h|w) by summing over sequences HT<sub>j</sub> containing it:

$$\theta''_{w}(h) = p(h|w) = \frac{1}{Z} \sum_{HT_{j} \in HT^{h}} p(HT_{j}|S) = \frac{1}{Z} \sum_{HT_{j} \in HT^{h}} \prod_{i=1}^{n} \theta'_{w_{i}}(h_{j}^{i})$$

Update probability distributions at each step:

$$\theta_w^N(h) = \frac{N-1}{N} \theta_w^{N-1}(h) + \frac{1}{N} \theta_w''(h)$$

Reserve probability mass for unseen h in same way

### **Evaluation: Artifical corpus**

- Need a corpus annotated with target trees
- Easiest way: generate one using a known grammar, and try to learn it back (see e.g. Pulman & Cussens, 2001)
- Use PoS type and token distributions from CHILDES
- 200 sentence set: 90% as training, 10% for test:

	Parsing Coverage	Same Formula
Top one	26%	77%
Top two	77%	79%
Top three	100%	80%

### Evaluation: ambiguity & anaphora

- 10% of word types ambiguous between 2 or 3 senses
  - 57% learned both senses in top 3 hypotheses
  - but only one with both in top 2
- Relative pronouns: allow "copy-from-context" computational action
  - learned for only relative pronouns
  - learned syntactic/semantic constraints identical to manual grammars:

$$who \begin{tabular}{ll} \textbf{IF} & ?Ty(e) \\ & & & & & & & & & \\ \hline \textbf{THEN} & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & \\ & & & \\$$

# Scaling Up

- Scaling this up will provide a probabilistic parser
- Can we do this without target trees?
  - incremental TTR compilation allows same method
  - can convert existing corpora (e.g. CHILDES) to TTR
  - but search space increases . . .

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- Is this a reinforcement learning problem?

$$\begin{array}{c}
CAs \\
\hline
S_1
\end{array}
\begin{array}{c}
CAs
\end{array}
\begin{array}{c}
CAs
\end{array}
\begin{array}{c}
CAs
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\begin{array}{c}
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\end{array}$$

# Thank you

Many people to thank: Arash Eshghi, Julian Hough, Ruth Kempson, Eleni Gregoromichelaki, Yo Sato, Wilfried Meyer-Viol, Graham White, Chris Howes, Pat Healey among others.