

Some Connections Between Proof Theory and Game Theory

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Outline

1 Brief Overview

- Hintikka games (Classical Logic)
- Lorenzen games (Intuitionistic Logic)
- Blass games (Linear Logic)

2 Functional Interpretations

- Strategies as moves
- Realizability and dialectica

3 Quantifiers and Selection Functions

- von Neumann games
- A generalization
- Interpreting countable and dependent choice

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Fix a model M of a first-order language

Two players **P** and **O**

Initial roles: **P** is the verifier, **O** is the falsifier

For atomic formula Q , verifier wins if Q holds in M

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Theorem (Hintikka and Kulas, 1983)

$M \models A$ iff **P** has a winning strategy in game A (over M)

Lorenzen Games

- Lorenzen (1961)
- Two players $\{\mathbf{P}, \mathbf{O}\}$ debating about the truth of a formula
- Players take turns attacking or responding
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- Motivation: alternative semantics for IL
 - If formula is provable in IL then \mathbf{P} has winning strategy
- Felscher (1985) found conditions for completeness
 - Formula is provable in IL iff \mathbf{P} has winning strategy

Lorenzen Games – Particle Rules

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Depends on the main connective/quantifier

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Let $X, Y \in \{\mathbf{P}, \mathbf{O}\}$ with $X \neq Y$, and $i < j < k$

Conjunction

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|--------------|-------------------------------------|-----------------------------|
| (<i>i</i>) | X asserts | $A_1 \wedge A_2$ |
| (<i>j</i>) | Y attacks (<i>i</i>) asserting | \wedge_1 (or \wedge_2) |
| (<i>k</i>) | X responds (<i>j</i>) asserting | A_1 (or A_2) |

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Let $X, Y \in \{\mathbf{P}, \mathbf{O}\}$ with $X \neq Y$, and $i < j < k$

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Negation

- (i) X asserts $\neg A$
- (j) Y attacks (i) asserting A
- (k) X has no possible response to (j)

Lorenzen Games – E.g. $P \wedge Q \rightarrow Q \wedge P$

Possible play in this game:

(0) **P** starts by asserting $P \wedge Q \rightarrow Q \wedge P$

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General organisation of the game:

S1 **P** may only assert atomic formulas already asserted by **O**

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S5 **O** can only attack/respond the preceding **P**-assertion

Remark: Dropping S2 and S3 gives semantics for CL!

Lorenzen Games – Intuition

A play is a path in a possible proof tree

P chooses path from below, directed by **O**-attacks

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For instance, play in example above corresponds to:

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Blass'1992

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Can dispense with structural rule!

Blass Games – Definition

Two players **P** and **O**

A **Blass game** consists of an ordered triple (M, p, G) where

- M is the set of possible moves at each round
- $p \in \{\mathbf{P}, \mathbf{O}\}$ is the starting player
(from then on players move alternatively)
- $G \subseteq M^\omega$ is the set of plays won by **P**

Game Operations – Conjunctions

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The new game $G_0 \otimes G_1$ is defined as

- both games are played intertwined
- **O** plays when it's his turn in both sub-games
He chooses one of the games and makes a move there
- **P** plays when he is to move in either G_0 or G_1
- **O** wins if he wins in one of the sub-games

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- The dual of a game is simply a swapping of roles
- Disjunctions follow by de Morgan
- Given game interpretation of atomics $P \mapsto G_P$
extend to game interpretation G_A for all formulas

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Theorem (Blass,1992)

*A is provable in affine logic \Rightarrow **P** has winning strategy in G_A*
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- **Abramsky and Jagadeesan'1992**
Soundness and completeness for MLL + mix rule
- **Hyland and Ong'1993**
Soundness and completeness for MLL

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It is my thesis that game-theoretically inspired conceptualizations have much to offer in other parts of logical studies as well. An especially neat case in point is offered by Gödel's functional interpretation of first-order arithmetic. As Dana Scott first pointed out, by far the most natural way of looking at it is in game-theoretical terms.

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Our category of games is a special case of a general construction in the appendix to Barr's book [1]. It is closely related to de Paiva's *dialectica categories* [10,11].

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In developing a category-theoretic approach to the Dialectica interpretation, de Paiva [3] found a connection with linear logic. This connection suggests looking at the Dialectica interpretation, in de Paiva's category-theoretic version, from the point of view of game semantics, and this is the purpose of the present section.

Blass, *A game semantics for LL*, 1992

Functional Moves

What if we could allow for higher-order moves?

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Repeated applications turns long games

$$\forall x_0 \exists y_0 \dots \forall x_n \exists y_n Q(x_0, y_0, \dots, x_n, y_n)$$

into **two-round games**

$$\exists f_0 \dots f_n \forall x_0 \dots x_n Q(x_0, f_0(x_0), \dots, x_n, f_n(\vec{x}))$$

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P chooses $t = \langle t_0 \dots t_n \rangle$, then **O** chooses $s = \langle s_0 \dots s_n \rangle$

P wins iff $Q(s_0, t_0(s_0), \dots, s_n, t_n(\vec{s}))$

Finite Types and System T

Types generated by

$$X, Y ::= \mathbb{B} \mid \mathbb{N} \mid X \times Y \mid X \oplus Y \mid Y^X$$

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Gödel primitive recursor

$$R(x, f, n) \stackrel{X}{=} \begin{cases} x & \text{if } n = 0 \\ f(n - 1, R(x, f, n - 1)) & \text{if } n > 0 \end{cases}$$

where X is an **any finite type**

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Remark: Ackermann function definable using $X = \mathbb{N}^{\mathbb{N}}$

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Each formula A is assigned a **decidable** adjudication relation

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Theorem (Gödel, 1958)

$$\text{HA} \vdash A \quad \stackrel{\exists t \in \mathbf{T}}{\implies} \quad \mathbf{T} \vdash \forall y |A|_y^t$$

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$$A \mapsto \exists x^X \forall y | A |_y^x \qquad B \mapsto \exists v^V \forall w | B |_w^v$$

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For instance:

$$A \wedge B \mapsto \exists \langle x, v \rangle \forall \langle y, w \rangle (|A|_y^x \wedge |B|_w^v)$$

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$$A \vee B \quad \mapsto \quad \exists z^{X \uplus V} \forall \langle y, w \rangle \left\{ \begin{array}{ll} |A|_y^x & \text{if } z = \text{inj}_l(x) \\ |B|_w^v & \text{if } z = \text{inj}_r(v) \end{array} \right\}$$

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$$A \mapsto \exists x^X \forall y |A|_y^x \qquad B \mapsto \exists v^V \forall w |B|_w^v$$

For instance:

$$A \wedge B \mapsto \exists \langle x, v \rangle \forall \langle y, w \rangle (|A|_y^x \wedge |B|_w^v)$$

$$A \vee B \mapsto \exists z^{X \uplus V} \forall \langle y, w \rangle \left\{ \begin{array}{ll} |A|_y^x & \text{if } z = \text{inj}_l(x) \\ |B|_w^v & \text{if } z = \text{inj}_r(v) \end{array} \right\}$$

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Assume $|A| \subseteq X \times Y$ and $|B| \subseteq V \times W$ defined. Then:

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Functional interpretations

Higher-order game above is Gödel's **dialectica interpretation**

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In either case we have:

If A is provable in HA then \mathbf{P} has winning move in game $|A|$

Functional interpretations – Completeness

No completeness! Extra principles validated:

$$\text{AC} \quad \forall x \exists y A(x, y) \rightarrow \exists f \forall x A(x, fx)$$

$$\text{MP} \quad \neg \neg \exists x P(x) \rightarrow \exists x P(x)$$

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Theorem

$\text{HA}^{\omega} + \text{AC} + \text{MP} + \text{IP} \vdash A$ iff \mathbf{P} has winning move in $|A|$

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Theorem

$\text{HA}^{\omega} + \text{AC} + \text{MP} + \text{IP} \vdash A$ iff **P** has winning move in $|A|$

Beneficial as it gives:

- Prove closure properties
- Way to eliminate such principles from a proof
- Extract computational information from classical proofs

Functional interpretations – Linear logic

Assume $|A| \subseteq X \times Y$ and $|B| \subseteq V \times W$ defined. Then:

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Outline

1 Brief Overview

- Hintikka games (Classical Logic)
- Lorenzen games (Intuitionistic Logic)
- Blass games (Linear Logic)

2 Functional Interpretations

- Strategies as moves
- Realizability and dialectica

3 Quantifiers and Selection Functions

- von Neumann games
- A generalization
- Interpreting countable and dependent choice

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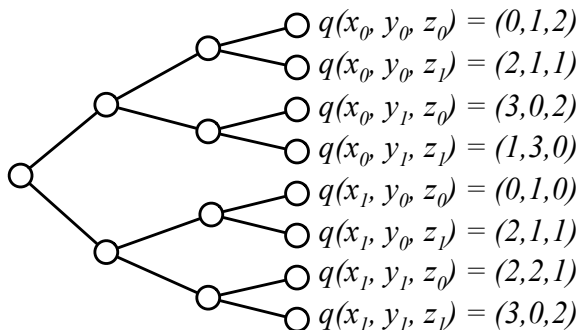
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- **strategy profile** is a tuple $(\text{next}_i)_{1 \leq i \leq n}$
- A strategy profile is in (Nash) **equilibrium** if no single player has an incentive to unilaterally change his strategy

Backward Induction

Three players, payoff function $q: X \times Y \times Z \rightarrow \mathbb{R}^3$

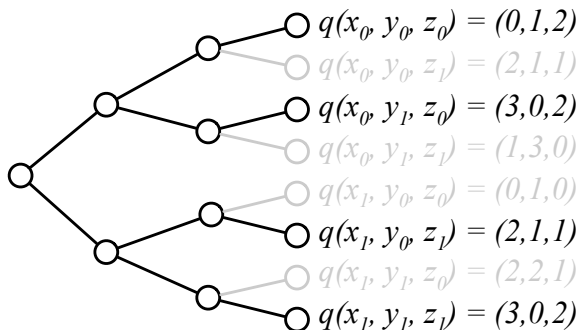
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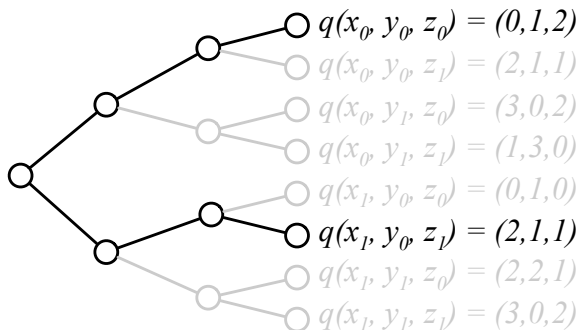
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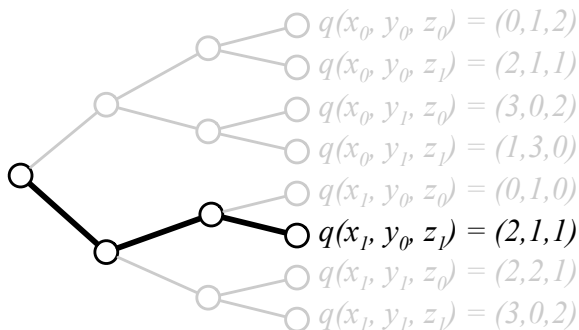
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Generalization

We will move from

Player i wants to maximise i -coordinate of payoff

to

Goal at round i is giving by a higher-order function

Quantifiers

For instance:

X = savings accounts

\mathbb{R} = interest paid

Maximise return

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Other examples: $\exists, \forall, \sup, \int_0^1, \text{fix}, \dots$

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Functionals $\varepsilon: \underbrace{(X \rightarrow R)}_{J_R X} \rightarrow X$ are called **selection functions**

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K and J are **strong monads**, so we have $T \in \{K_R, J_R\}$

$$TX \times TY \rightarrow T(X \times Y)$$

a **product operation** on selection functions and quantifiers

Quantifiers – von Neumann

For von Neumann “quantifier” at round i is

$$i\text{-max}: (X_i \rightarrow \mathbb{R}^n) \rightarrow 2^{\mathbb{R}^n}$$

defined as

$$i\text{-max}(p) = \{\vec{v} \in \mathbb{R}^n : \exists x(p x = \vec{v}) \wedge \forall x(p_i x \leq v_i)\}$$

Sequential Games – Finite

A **sequential game with n rounds** is described by

- Sets of **available moves** X_i for each round $0 \leq i < n$
- A set of **outcomes** R
- **Quantifiers** $\phi_i: K_R X_i$ for each round $0 \leq i < n$
- An **outcome function** $q: \prod_{i=0}^{n-1} X_i \rightarrow R$

Sequential Games – Unbounded

A **sequential game with n rounds** is described by

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We will assume game tree is well-founded

$$\forall \alpha \exists n T(\langle \alpha_0, \dots, \alpha_n \rangle)$$

Definition (Strategy)

Family of mappings $\text{next}_k : \prod_{i < k} X_i \rightarrow X_k$

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Definition (Strategic Play)

Given strategy next_k and partial play $\vec{a} = a_0, \dots, a_{k-1}$, the **strategic extension** of \vec{a} is $\mathbf{b}^{\vec{a}} = b_k^{\vec{a}}, b_{k+1}^{\vec{a}}, \dots$ where

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Definition (Optimal Strategy)

Strategy next_k is **optimal** if

$$q(\vec{a} * \mathbf{b}^{\vec{a}}) \in \phi_k(\lambda x_k. q(\vec{a} * x_k * \mathbf{b}^{\vec{a} * x_k}))$$

for any partial play \vec{a} such that $\neg T(\vec{a})$

Sequential Games – Main Result

Theorem

Fix an unbounded game $G = (X_i, R, \phi_i, q, T)$

Assume $\phi_i: K_R X_i$ attainable with selection fcts $\varepsilon_i: J_R X_i$

Then an optimal strategy for G can be calculated by an unbounded iterated product of these selection functions as

$$\text{next}_i(\vec{x}) = \left(\left(\begin{array}{c} T \\ \otimes \\ \vec{x} \end{array} \varepsilon \right) (q) \right)_0$$

Now, what does this have
to do with proof theory?

Countable Choice

Let us look at negative translation of countable choice:

$$\mathbf{AC}_0^N : \forall n \neg \neg \exists x A_n(x) \rightarrow \neg \neg \exists \alpha \forall n A_n(\alpha n)$$

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outcome function

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outcome function **clock function** **quantifier at round n**

Countable Choice

Computational interpretation of $AC_0 \equiv$ Theorem about games

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Theorem

Given $\varepsilon_i: J_R X_i$ (ϕ_i as above) and $q: \Pi_i X_i \rightarrow R$ and $\omega: \Pi_i X_i \rightarrow \mathbb{N}$, define the game (X_i, R, ϕ, q, T) where

$$T(s) \equiv \omega(s * \mathbf{0}) < |s|.$$

If ϕ_i are attainable with selection functions ε_i then there exists an optimal play α in the game

Few References



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